

FEE SCHEDULE
 NORTHBOUND SECURITIES LLC
 FOR ACCOUNTS HELD AT
 DRIVEWEALTH, LLC

WITHDRAWAL/ ADMINISTRATIVE REQUEST FEES

ACH Transfer (outgoing)	\$0.25
E-check (USD)	\$3.00
Outgoing U.S. Wire Transfer	\$25.00
Outgoing Non-U.S. Wire Transfer	\$35.00
Failed ACH Transfer	\$20.00 per failed transfer

TRANSFER FEES

ACAT Incoming	Free
ACAT Out (USA)	\$50.00 per Account
ACAT Out (Non-U.S.)	\$50.00 per Account
DTC Deliveries/ Receives	\$35.00 per position
DWAC Transfer	\$150.00 per position
DRS Transfer Incoming and Outgoing	\$150.00 per position

MISCELLANEOUS FEES

Returned E-Checks	\$20.00 per check
Check Stop Payments	\$25.00 per check
Returned Wire Transfers	\$25.00 per wire
Third Party Returned Wire Transfer (Note: DriveWealth does not accept third-party wires)	\$65.00 per domestic attempted third-party wire return \$85.00 per international attempted third-party wire return
Tax Document Request (Fax and Regular Mail)	\$25.00 per request
1099 Request for Exempt Accounts	\$50.00 per request
Broker Assisted Trades (Phone Order)	\$10.00 per transaction
Physical Copy of Trade Confirmations	\$3.00 per confirmation
Physical Copy of Monthly Account Statements	\$5.00 per statement